

# Conduct, Compliance and Legal Advisory Section (CCLS) Education Sub-Committee 2023 Annual Conference

## Speaker Biographies

9:40 am Breakout Session:  
T+1: Compliance Best Practices



### Keith Evans

#### Executive Director Canadian Capital Markets Association

Keith Evans is Executive Director of the Canadian Capital Markets Association (CCMA). Appointed in July 2015, Mr. Evans represents the CCMA in the co-ordination of the CCMA's Board-approved initiatives.

Mr. Evans led the Canadian financial industry's transition from T+3 to T+2, which was successfully implemented in the fall of 2017. Since then, he has been working with industry participants to support modernization of CDS's systems for clearing and settlement, as well as for entitlement and corporate actions processing. He now also heads cross-industry and cross-border co-ordination of Canada's T+1 efforts.

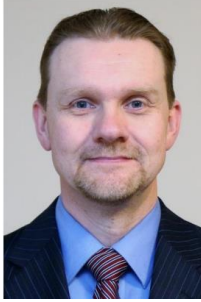
Mr. Evans is a senior operations executive in the financial services industry, with extensive experience in clearing and settlement, corporate actions, and project management. He worked for The Canadian Depository for Securities Limited (CDS) for 36 years, most recently as Executive Director, Operations. There he was responsible for the day-to-day operations and strategy of Canada's national depository and clearing corporation.



### Steve Everett

#### Head Business Strategy and Innovation Post Trade, TMX (Canada)

Steve Everett has over 15 years of experience in post trade and Market Infrastructure, in leading innovative Market driven and client-centric change across TradeFI and Digital domains in multiple markets. His current focus within the TMX Group is to lead innovation and change with a key focus in 2023 to introduce Canada's first Triparty Collateral service as well as a series of Market driven initiatives and innovative digital solutions across the broad spectrum of post trade.



## **Jason O'Born**

**Director, Global Head Securities Clearance at RBC Capital Markets**

Jason O'Born has over 27 years of experience in broker-dealer operations. His global responsibilities include Equity & Fixed Income Clearing, FX Processing, Options Clearing and Buy-Ins. Since joining RBC Dominion Securities in 1994, he has held a number of operations leadership roles, overseeing various capital market and wealth management functions, including Institutional Trade Support, Prime Brokerage Operations, Cash Control, Account Transfers, U.S. Money Market Operations, U.K. Operations and Physical Securities processing.

In addition to co-chairing the CCMA T+2 Steering Committee between 2015 and 2018, he has been involved in several other industry committees and working groups over the years. These include: CDS SDRC (System Development Review Committee), Chair of the FAS (Financial Administrators Section) Operations Fails Working Group and FAS New York Link/DTC Direct Link (Panel)