

# Conduct, Compliance and Legal Advisory Section (CCLS) Education Sub-Committee 2023 Annual Conference

3:20 pm Keynote Panel:

**Money Laundering Through Securities  
Around the World**

## Speaker Biographies



### **Matt McGuire**

**Co-Founder and Practice Leader, the AML Shop**

Matt McGuire is the Principal and Practice Leader of The AML Shop. Launched in 2015, The AML Shop's team of veteran compliance executives empowers businesses with the most practical, informed and strategic regulatory support services with the goals of helping clients manage risk, maximize opportunities and achieve and maintain compliance.

For over 15 years, Matt has served as an advisor to Canadian, American and foreign governments, as well as the United Nations Office on Drugs and Crime. For two years, Matt led remediations at various U.S. financial institutions, as part of Deloitte's financial advisory services practices. Matt has been consulting to U.S. institutions with operations in Canada, on cross-border compliance program development and has conducted compliance effectiveness reviews for U.S. operations of banks, foreign exchange companies and money services businesses.

For four years, Matt was the leader of the National Anti-Money Laundering Practice as well as the Ontario region investigative and forensic services group at MNP LLP. He was the partner-in-charge of MNP's forensic accounting contract with the Toronto Police Services Financial Crime Unit.

Matt holds an Honours BA and a Master of Accounting degree from the University of Waterloo and a diploma in investigative and forensic accounting from the University of Toronto. He is also a Certified Cryptocurrency Investigator.

Ever committed to learning and advancing the knowledge exchange within his industry, Matt founded the Seneca College Institute for Financial Crime Analysis and is also an instructor for the University of Toronto's Master of Forensic Accounting program.

With the changing landscape of financial technologies, currencies and markets, Matt's expertise is constantly evolving and in demand. This includes his current role overseeing the monitoring of cryptocurrency exchange risk and transactions for cryptocurrency companies and their financial institutions. He holds an advisory role to digital currency exchanges, regulatory technology companies and blockchain-focused exempt market dealers.

Matthew authored the CPA's, Canada's AML Guide for Accountants, as well as many other articles and papers. He served as a member of the Department of Finance's Private-Public Advisory Committee on AML and ATF, as well as the Chair of the AML Committee of CPA Canada, and is a member of the ATM Industry Association Bitcoin Governance and Risk Committee.



## **Amber D. Scott**

### **Founder & CEO, Outlier Compliance Group**

Amber D. Scott is the Founder & CEO of Outlier Solutions Inc. aka Outlier Compliance Group. Amber is a compliance geek with a passion for technology. After graduating from the University of Waterloo, Amber joined the compliance team at one of Canada's largest insurers where she focused on the implementation of technology-based processes to streamline compliance. She has since worked in in-house roles with major securities firms, and banks. Somewhere along the line, she added MBA, CBP, CIPP, CAMS and a few other letters after her name. In 2013, Amber launched Outlier, a Canadian compliance consultancy specializing in anti-money laundering (AML), privacy, and regulatory compliance. The company is based on one of the premises of Malcolm Gladwell's book *Outliers*: that to be really good at something you need a lot of practice (about 10,000 hours). Each of Outlier's team members has 10,000 hours or more of in-house compliance experience. Amber loves leading this team of entrepreneurial compliance badasses.



## **Marissa Daniels**

### **Senior Associate, Torys LLP**

Marissa's practice focuses on advising clients on regulatory compliance in the financial services and payments industry. Marissa advises a variety of clients, including banks, insurance companies, securities dealers, investment advisers and fintechs on compliance with Canada's anti-money laundering, anti-terrorist financing and economic sanctions legislation.



## **Garry W. G. Clement, CAMS, CFCS, CFE, CFW, CCI**

**President & CEO, Clement Advisor Group & CAMLO, Versa Bank**

Financial Crime Prevention expert and advocate with over 45 years of experience. Garry assumed the position of Chief Anti-money Laundering Officer for Versabank in March 2022. Additionally, Garry is President and CEO of Clement Advisory Group. He relies on his 34 years of policing experience, having worked in roles as the National Director for the RCMP's Proceeds of Crime Program, Liaison Officer in Hong Kong, working as an investigator and undercover operator in some of the highest organized crime levels throughout Canada. During Garry's policing career, he received numerous awards and commendations for his investigative abilities, inclusive of recognitions from the US Drug Enforcement Administration and the CIA. He is the former EVP of the Association of Certified Financial Crime Specialists.

Garry has authored and/or co-authored several papers in national and international publications on organized crime and money laundering and his book; "Undercover, Inside the Shady World of Organized Crime and the RCMP" will be released in November 2023.