

Re: IIROC Continuing Education Program Accreditation for Cycle Seven

The Investment Industry Regulatory Organization of Canada (IIROC) reviews and accredits programs offered by the Conduct, Compliance and Legal Section (CCLS) Education Subcommittee for compliance with its Continuing Education Rules.

This letter certifies that IIROC has determined the following CCLS program meets the requirements of CE Rule 2650 and has awarded continuing education credits.

Program: Supervision: Advisor Compensation Conflicts

Program Date: June 13, 2019

The CCLS Education Sub-Committee will issue a letter of attendance, which includes details of the program's accreditation.

Sincerely,

IIROC Proficiency

cc. Elsa Renzella, Senior Vice President, Enforcement and Registration